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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 00568-286923

First Inventor Pankaj Patel et al.

Title Materials and Methods for Manufacturing Cigarettes

Express Mail Label No. EV 315 184 652 US

PTO

2010/665066



## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 85]
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 18]
5. Oath or Declaration [Total Pages ]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63 (d))  
   (for a continuation/divisional with Box 18 completed)
  - i.  **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s)  
 named in the prior application, see 37 CFR  
 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 C.F.R. §3.73(b) Statement  Power of Attorney (when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: Transmittal Under 37 CFR 1.41c

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP)  
CIP of 10/303,646, filed 11/25/02, which is a CIP of 09/929,609, filed 8/14/01

of prior application No. 10 / 440,292, filed 5/16/03, and a

Group / Art Unit: UNKNOWN

Prior application information: Examiner Unknown  
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	23342		<input type="checkbox"/> Correspondence address below		
Name	(Insert Customer No. or Attach bar code label here)				
Address	J. Michael Boggs Kilpatrick Stockton LLP 1001 West Fourth Street				
City	Winston-Salem	State	NC	Zip Code	27101-2400
Country	USA	Telephone	(336) 747-7536	Fax	(336) 734-2632
Name (Print/Type)	J. Michael Boggs		Registration No. (Attorney/Agent)	46,563	
Signature			Date	9/17/03	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1002)

Complete If Known	
Application Number	Applied For Herewith
Filing Date	September 17, 2003
First Named Inventor	Pankaj Patel et al.
Examiner Name	Unassigned
Group / Art Unit	Unassigned
Attorney Docket No.	00568-286923

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money  Other  None  
Order
 Deposit Account:Deposit Account Number  
16-1435Deposit Account Name  
Kilpatrick Stockton LLP

The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	160	214	80
SUBTOTAL (1)			(\$ 750)

## 2. EXTRA CLAIM FEES

Total Claims	18	-20 **	= 0	X 18	= 0
Independent Claims	6	-3 **	= 3	X 84	= 252
Multiple Dependent				X 0	= 0

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
103	18	203	9
102	84	202	42
104	280	204	140
109	84	209	42
110	18	210	9
SUBTOTAL (2)			(\$ 252)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	400	216	200
117	920	217	460
118	1,440	218	720
128	1,960	228	980
119	320	219	160
120	320	220	160
121	280	221	140
138	1,510	138	1,510
140	110	240	55
141	1,280	241	640
142	1,280	242	640
143	460	243	230
144	620	244	310
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
146	740	246	370
149	740	249	370
179	740	279	370
169	900	169	900
Other fee (specify) _____			

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 0)

## SUBMITTED BY

Name (Print/Type)	J. Michael Boggs	Registration No. Attorney/Agent)	46,563	Telephone	(336) 747-7536
Signature	<i>J. Michael Boggs</i>			Date	9/17/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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## EXPRESS MAIL CERTIFICATE

"Express Mail" Label No. : EV 315 184 652 US  
Serial No. : Applied for Herewith  
Applicant(s) : Pankaj Patel et al.  
Filing Date : Herewith  
Title: Materials and Methods for Manufacturing Cigarettes  
Type of Document(s) : Express Mail Certificate;  
Utility Patent Application Transmittal;  
Fee Transmittal (*in duplicate*);  
Check 341625 in the amount of \$1,002.00 for filing fee;  
Transmittal of Application Under  
37 CFR 1.41(c);  
Nonpublication Request Under 35 USC 122(b)(c)(B)(i);  
Specification: 85 pages;  
Drawings: 18 Pages – (FIGS. 1-18); and  
Return Postcard

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to Mail Stop Patent Application, Commissioner for Patents, P O Box 1450, Alexandria, VA 22313-1450.

Carol McCabe \_\_\_\_\_ (signature)  
Carol McCabe

Date Mailed: September 17, 2003

PTO/SB/35 (11-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

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<b>NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>		First Named Inventor	Pankaj Patel et al.
Title	Materials and Methods for Manufacturing Cigarettes		
		Atty Docket Number	00568-286923

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

9/17/03

Date



Signature

J. Michael Boggs, # 46,563

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

**Burden Hour Statement:** This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Pankaj Patel et al.  
Serial No. : To Be Assigned  
Filing Date : September 17, 2003  
For : Materials and Methods For Manufacturing Cigarettes

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Mail Stop: Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF APPLICATION UNDER 37 CFR 1.41(c)**

Dear Sir:

The undersigned attorney hereby makes the above-identified application for U.S. Letters Patent on behalf of the following Applicants:

Pankaj Patel  
Charles Ray Ashcraft  
John Joseph Tomel, Jr.  
Gregory Alan Holmes  
Vernon Brent Barnes  
Lloyd Harmon Hancock  
James Herbert Ellis, Jr.  
James Ray Hutchens  
Gregory Scott Pierce  
Don Hayes White.

Please address all correspondence and direct all telephone calls in connection with this application to: J. Michael Boggs, Esq., Kilpatrick Stockton LLP, 1001 West Fourth Street, Winston-Salem, NC 27101-2400, (336) 747-7536 (phone), (336) 734-2632 (facsimile).

Respectfully submitted,

9/17/03  
Date

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